

Accountants ProtectionPlus Cyber Questionnaire

Plan Administrator:



1200 E. Glen Ave., Peoria Heights, IL 61616-5348
Questions: Please call 855.465.0200

APPLICANT INFORMATION

Firm Name: _____
Address: _____
City: _____ State: _____ Zip: _____
Company website or email domain: _____
Entity Type: LLC Corporation Individual LP LLP Partnership
Is this company a non-profit? Yes No Desired Limit: \$250K \$500K \$1 Million
Year Business Started: _____ Company Revenue: \$ _____
Number of Employees: _____ Number of Independent Contractors: Full-Time: _____ Part-Time: _____
Does the applicant own/operate any other business under the same legal entity that will not be quoted? Yes No
Has the applicant, a majority owner, partner, or member filed for bankruptcy in the past 5 years? Yes No
Has any cyber policy or coverage been cancelled or non-renewed in the past 3 years? Yes No
Desired Effective Date: _____ / _____ / _____ % of Domestic Revenue: _____

COVERAGE INFORMATION

- Number of protected records (If you are unsure, please approximate.):
< 250: _____ < 500: _____ < 1,000: _____ < 2,500: _____ < 5,000: _____ < 10,000: _____ < 25,000: _____ < 50,000: _____
- What type of customer group does the firm typically serve? > 90% Corporate > 90% Consumers Mixed corporate and consumer customers
- Does the applicant derive more than 50% of its revenue from technology products and services (e.g., software, electronics, telecom)? Yes No
- Within the last 3 years, has the applicant had any actual cyber incidents or claims? Yes No
If yes, was it more than \$25,000? Yes No
- Does the applicant accept payment card (credit/debit card) transactions? Yes No
If yes, is the applicant PCI compliant? Yes No
- Does the applicant deal with protected health information as defined by HIPAA? Yes No
If yes, is the applicant compliant with HIPAA and HITECH act? Yes No
- Which of the following IT security controls does the applicant have in place?
Antivirus and Firewalls (Windows 10 or higher qualifies for <10 employees): Yes No Unknown
Encryption of Sensitive Data at Rest and In Transit: Yes No Unknown
Encryption and Endpoint Protection on Mobile Computing Devices: Yes No Unknown
Formal Vulnerability Management and Software Patching Procedures: Yes No Unknown
Formal Data Backup and Recovery Procedures in Place and Tested Periodically: Yes No Unknown
Formal Cyber Incident Response Plan in Place and Tested Periodically: Yes No Unknown
Multifactor Authentication on Corporate Email: Yes No Unknown
Multifactor Authentication on Corporate Network, Systems, and VPNs: Yes No Unknown

COVERAGE INFORMATION *(continued)*

8. Does the applicant rely on cloud computing, software-as-a-service, or any other outsourced computer hosting for revenue-generating operations? Yes No Unknown
If yes, what % of day-to-day operations rely on these services? _____
If yes, select the best description of offsite redundancies in place on these services:
 No offsite redundancy 24+ hour cold site 1-23 hour warm site Real time mirrored (hot) site
9. Are there any scheduled providers to add for contingent business income? Yes No
10. Does the applicant intend to purchase professional liability insurance on a separate and distinct policy? Yes No
11. Has the applicant obtained legal review of trademarks, including domain names? Yes No Unknown
12. Is the applicant aware of any notices, suits, facts, or circumstances that could reasonably be expected to give rise to a cyber incident or claim? Yes No
13. Within the last 3 years, has the company been subject to any complaints concerning the content of its website, advertising materials, social media, or other publications? Yes No
14. Does the company enforce procedures to remove content (including third party content) that may infringe or violate any intellectual property or privacy right? Yes No
15. Does the applicant require a secondary means of validation (i.e., phone call) to validate the authenticity of funds transfers requests, before processing a request in excess of \$25,000? Yes No
If no, would the applicant consider doing this going forward, should a transfer of this size occur? Yes No

FAQ/DEFINITIONS

Number of employees means anyone that works for the company and has access to company computer systems and records.

Independent contractors should be included in the employee count but also need to be broken out into full-time and part-time status.

Protected Records/Protected Information means the following, in any format:

1) A natural person's name, e-mail address, social security number, medical or healthcare data, other protected health information, driver's license number, state identification number, credit card number, debit card number, address, unpublished telephone number, account number, account histories, personally identifiable photos, personally identifiable videos, Internet browsing history, biometric records, passwords or other non-public personal information as defined in any Privacy or Cyber Laws;

or

2) Any other third party confidential or proprietary information: a) provided to an Insured and protected under a nondisclosure agreement or similar contract; or b) which an Organization is legally responsible to maintain in confidence.

Multifactor Authentication is an electronic authentication method in which a user is granted access to a website or application only after successfully presenting two or more pieces of evidence to an authentication mechanism.

Example: When logging in to the company network, the user receives a message on their phone that they can click or enter a code to validate their credentials.

Cloud Computing is the delivery of computing services—including servers, storage, databases, networking, software, analytics, and intelligence—over the Internet ("the cloud") to offer faster innovation, flexible resources, and economies of scale.

There are 3 types of cloud computing: Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and Software as a Service (SaaS)

Infrastructure as a Service is a cloud computing service where enterprises rent or lease servers for computers and storage in the cloud. It provides infrastructure components such as servers, storage, networking, security, and more over, the cloud. Users can run any operating system or applications on the rented servers without the maintenance and operating costs of those servers.

Examples: AWS EC2, Rackspace, Google Compute Engine (GCE), Magento 1 Enterprise Edition, Dropbox, Azure

Platform as a Service is a complete development and deployment environment in the cloud, with resources that enable you to deliver everything from simple cloud-based apps to sophisticated, cloud-enabled enterprise applications. It provides computing platforms such as operating systems, programming language execution environments, databases, and web servers.

Examples: WS Elastic Beanstalk, Google App Engine, Windows Azure, OpenShift, Magento Commerce Cloud

Software as a Service is a third party software licensing and delivery model in which software is licensed on a subscription basis and is centrally hosted. It means instead of installing software on your computer, you access the platform online.

Examples: BigCommerce, Google Apps (Drive, Calendar), Salesforce, Dropbox, MailChimp, ZenDesk, DocuSign, Slack, Hubspot, Square

Offsite redundancy makes sure that if something fails, you are able to work regardless of the problem.

Examples: Hot Sites, Warm Sites, or Cold Sites.

Hot: A Hot Site is up and running continuously. It allows a company to continue normal business operations, within a very short period of time after a disaster. Hot Site must be online and must be available immediately.

Warm: A Warm Site is not as equipped as a Hot Site and is not ready for immediate switch over. The time to switch over to Warm Site is more than that of a Hot Site.

Cold: Cold Sites contain even fewer options than a Warm Site. Cold Site will take more time than a Warm Site or Hot Site to switch operations

Signature: _____ Date: _____

Title: _____