



## ACCOUNTANTS PROFESSIONAL LIABILITY INSURANCE HIGHLIGHTS

As a leader in the insurance industry for 67 years, you have come to expect Pearl Insurance to provide only the best products the market has to offer.

Underwritten by Greenwich Insurance Company, a wholly owned subsidiary of AXA XL, an AXA Group Company, our A+ rated Accountants Professional Liability coverage is backed by unmatched financial stability and capability.

### Start benefiting today

- > 5% risk management premium credits to firms of 1-9 accountants
- > 7.5% risk management premium credits to firms with 10 or more accountants
- > Cyber security endorsement option offering protection against nine cyber threats and risk management resources, on top of any cyber coverage you already have
- > Reputation Protection: covers unfavorable information made available through social media
- > No Consent to Settle Hammer Clause

- > Pro Bono Professional Services Coverage
- > Employee Dishonesty
- > Not-for-Profit D&O Coverage
- > Equity Plus Preferred Endorsement: includes Moonlighting for Tax Preparation Services
- > Extended Reporting Period (ERP) for Individual Equity Partners available with Equity Preferred Plus Endorsement
- > Multiple deductible waiver/reduction options up to \$50,000 in the aggregate with Equity Preferred Plus Endorsement
- > Equity Interest Coverage
- > \$750 a Day for Lost Earnings
- > Multiple Limit Options

### Requesting Your Personalized Estimate

Request your personalized estimate today using one of our convenient options.

- > Complete the Quote Estimate Form on the back of this flyer
- > Submit your information online at [txcpainsure.org/pl](http://txcpainsure.org/pl)

We are proud to offer our Accountants Professional Liability coverage, financially rated **A+ by A.M. Best**

- > Call us to complete by phone at 800.845.8941
- > Submit a competitor application to us that you have already filled out

Whether you are looking for broad professional liability coverage or a complete business owner's commercial policy, we have the resources, experience, and industry knowledge you can depend on.



> AXA XL is a division of AXA Group, providing products and services through our four business groups: AXA XL Insurance, AXA XL Reinsurance, AXA XL Art & Lifestyle, and AXA XL Risk Consulting. Coverage is underwritten by the following AXA XL companies: Greenwich Insurance Company and Indian Harbor Insurance Company. Not all insurers do business in all jurisdictions nor is coverage available in all jurisdictions. AXA, the AXA, and XL logos are trademarks of AXA SA or its affiliates.

To speak with a TXCPA insurance specialist, call **800.845.8941** or visit **[TXCPAinsure.org/pl](http://TXCPAinsure.org/pl)**.

## FIRM INFORMATION

Legal Name of Firm \_\_\_\_\_

Contact Person \_\_\_\_\_ Telephone ( ) ( ) \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website \_\_\_\_\_

Firm Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Represented by a Broker?  Yes  No



Accountants  
**ProtectionPlus**  
Exclusively provided by Pearl Insurance



Please fill out and fax  
the completed form to  
**866.817.9009.**

## AREAS OF PRACTICE

What percentage of your firm's total billable hours was devoted to your practice areas during the previous year?

- Administrator, Executor, or ERISA Trustee \_\_\_\_\_ %
- Audit: Non-Public \_\_\_\_\_ %
- Audit: Public \_\_\_\_\_ %
- Bankruptcy Trustee or Receiver \_\_\_\_\_ %
- Bookkeeping/Write ups/ Business Valuations \_\_\_\_\_ %
- Compilations \_\_\_\_\_ %
- Consulting \_\_\_\_\_ %
- Data Processing Services \_\_\_\_\_ %
- Debenture Financing/Bonds \_\_\_\_\_ %
- Fiduciary - Non-Trustee \_\_\_\_\_ %
- Financial Advisory Services \_\_\_\_\_ %
- Forecasts and Projections \_\_\_\_\_ %
- Financial Planning and Forensic Accounting \_\_\_\_\_ %
- Hardware/Software Consulting \_\_\_\_\_ %
- Hardware/Software Sales \_\_\_\_\_ %
- Limited Partnerships and Tax Sheltered Syndication \_\_\_\_\_ %
- Litigation Support \_\_\_\_\_ %
- Management Advisory Services \_\_\_\_\_ %
- Mergers and Acquisitions \_\_\_\_\_ %
- Reviews \_\_\_\_\_ %
- Securities including Federal and State Securities \_\_\_\_\_ %
- Securities: Other \_\_\_\_\_ %
- Tax: Business \_\_\_\_\_ %
- Tax: Estate \_\_\_\_\_ %
- Tax: Individual \_\_\_\_\_ %
- Other \_\_\_\_\_ %
- Enrolled Agent \_\_\_\_\_ %
- Life & Health Insurance Agent \_\_\_\_\_ %
- Registered Representative \_\_\_\_\_ %
- TOTAL 100 %

## ACCOUNTANT PERSONNEL DETAILS

Number of Owners, Partners, Officers: Full-Time \_\_\_\_\_ Part-Time \_\_\_\_\_

Number of Employed CPAs (other than Owners, Partners and Officers): Full-Time \_\_\_\_\_ Part-Time \_\_\_\_\_

Number of Other Accounting or Tax Professionals whose time is billable to clients: Full-Time \_\_\_\_\_ Part-Time \_\_\_\_\_

Number of Support Staff: Full-Time \_\_\_\_\_ Part-Time \_\_\_\_\_

## RISK MANAGEMENT

Please provide the number of professionals who completed a risk management program within the past 3 years:

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Program Sponsor \_\_\_\_\_ Seminar Date \_\_\_\_\_ Number of Professionals \_\_\_\_\_

## ENGAGEMENT

Does the applicant regularly use engagement letters?  Yes  No

## CURRENT COVERAGE

Does your firm currently have coverage:  Yes  No

*If your firm is currently covered, please tell us about your current Accountants Professional Liability coverage so we may give you the most accurate quote possible.*

Requested Limits/Deductible: \_\_\_\_\_ / \_\_\_\_\_ Prior Acts Date: \_\_\_\_\_ / \_\_\_\_\_

Policy Expiration Date: \_\_\_\_\_ / \_\_\_\_\_ Current Premium Cost: \_\_\_\_\_

First Dollar Defense:  Yes  No Claims Expenses Outside Limits:  Yes  No

## CLAIM INFORMATION

Please tell us about any claim against your firm in the last five years, if any. Attach additional pages if necessary.

Date of Claim: \_\_\_\_\_ / \_\_\_\_\_ Reserve Amount: \$ \_\_\_\_\_ Paid Amount: \$ \_\_\_\_\_

Status:  Closed  Incident  Closed No Pay  Open

## PEER REVIEW

Has the applicant had a peer review in the last three years?  Yes  No

If so, what was the result?  Pass  Pass with Deficiencies  Fail

*If the result was "Pass with Deficiencies" or "Fail", provide a copy of the peer review and all subsequent correspondence.*

## DISCIPLINARY ACTION

Has the applicant or any of its predecessors, any of its current employees/members, or any past employees/members been subject to sanctions within the last five years for conduct unbecoming to the profession of accountancy?  Yes  No *If yes, please provide details on a separate page.*

## ANNUAL REVENUES

Provide the total gross annual revenues for the applicant firm.

Last Fiscal Year: FYE \_\_\_\_\_ \$ \_\_\_\_\_

Estimate for Current Year: FYE \_\_\_\_\_ \$ \_\_\_\_\_